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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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Andrei Majidian

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9806

23117

7590

04/02/2009

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EXAMINER

STORK, KYLE R

ART UNIT

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/590,519	<b>Applicant(s)</b> MAJIDIAN, ANDREI	
	<b>Examiner</b> KYLE R. STORK	<b>Art Unit</b> 2178	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 26 January 2009.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 13-23 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 13-23 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

1. This non-final office action is in response to the amendment filed 26 January 2009.
2. Claims 13-23 are pending. Claims 13 and 20 are independent claims.

### ***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 13-22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Moh et al. ("Re-engineering Structures from Web Documents," 2000, hereafter Moh), and further in view of Chau et al. (US 7174327, filed 31 January 2002, hereafter Chau).

As per independent claim 13, Moh discloses the method of communicating information between two or more devices within a distributed environment, the method comprising:

utilizing XML files in combination with one or more XML files representative of the data which the device intends to send to other parties to generate a validator file which is operable to validate all of the utilized XML files (pages 68-73)

using the validator files to validate any received or transmitted XML files (pages 68-73)

Moh fails to specifically disclose a plurality of device communicating via a network to send XML files to other devices. However, Chau discloses a plurality of device communicating via a network to send XML files to other devices (Figure 1; column 4, lines 8-50). It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to have combined Chau with Moh, since it would have allowed several client computers to obtain the XML data for local processing.

As per dependent claim 14, Moh and Chau disclose the limitations similar to those in claim 13, and the same rejection is incorporated herein. Moh fails to specifically disclose communicating information among three or more devices. However, the examiner takes official notice that communicating information among three or more devices was notoriously well known in the art at the time of the applicant's invention, as providing a user to distribute information to multiple recipients simultaneously. It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to have combined the well known with Moh, since it would have allowed a user to distribute information to multiple recipients simultaneously.

As per dependent claim 15, Moh and Chau disclose the limitations similar to those in claim 13, and the same rejection is incorporated herein. Moh further discloses a method of transmitting information between first and second electronic devices, the method including generating a validator file operable to validate or to not validation XML files according to their structure, transmitting to the second device the validator file itself or information to enable the validator file to be acquired by the second device, generating an XML file which contains the information to be transmitted structured in

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such a way that it is validatable by the generated validator file, transmitting the XML file to the second device and validating the XML file received by the second device, wherein the validator file is generated by:

acquiring and parsing an example XML file, the XML file having a structure which the validator file to be generated should cause to be validated, to generate a tree structured file comprising a root node and one or more subsidiary nodes each of which corresponds to an element within the example XML file and has an associated child and attribute list which contains the names of zero or more children nodes and zero or more attributes respectively (page 68: Here, Phase I discloses an XML file that is parsed. From this parsed XML file, a document tree is created. This document tree discloses zero or more child nodes each having zero or more attributes)

acquiring and parsing any additional XML files to generate corresponding additional tree structured files (page 69: Here, Phase I discloses clustering documents containing similar structures. These similar documents are then used for the construction of a DTD)

traversing the or each tree structured file to generate an intermediate structure comprising groups of nodes in which, each time a node is encountered which does not have the same name as any previously encountered node, a new group is created in the intermediate structure and one or more details of the node in question are stored in the group, and each time a node is encountered which does have the same name as any previously encountered node its child and attribute lists are compared with those of the or each previously encountered node having the same name and if there is a match,

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no further entry is made in the group, but if there is a mismatch, then a new entry comprising one or more details of the node is made within the same group as the previously encountered node of the same name (page 71: Here, the construction of a spanning graph includes all unique nodes. Further, if nodes have matching names, the attributes of each node are compared. Any non-matching attributes are then added to the node (See "Observation" (page 71)))

generating the validator file based on the intermediate structure (pages 72-73: Here, a DTD is created from the spanning graph)

As per dependent claim 16, Moh and Chau disclose the limitations similar to those in claim 15, and the same rejection is incorporated herein. Chau further discloses parsing an XML document to form a DOM tree (Figure 10). It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to have combined Chau with Moh, since it would have allowed for creation of an XML tree structure.

As per dependent claim 17, Moh and Chau disclose the limitations similar to those in claim 15, and the same rejection is incorporated herein. Moh further discloses wherein the validator file is a DTD or an XML schema definition file (pages 72-73).

As per dependent claim 18, Moh and Chau disclose the limitations similar to those in claim 15, and the same rejection is incorporated herein. Moh further discloses wherein the intermediate structure and the groups forming the intermediate structure are Java objects, and wherein the details of a node stored in a group are a reference to

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a Java object representing the node in one of the one or more tree structured files (page 69).

As per dependent claim 19, Moh and Chau disclose the limitations similar to those in claim 13, and the same rejection is incorporated herein. Chau discloses the use of a distributed computing environment wherein XML files are passed to a plurality of devices via a network for processing (Figure 1; column 4, lines 8-50). It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to have combined Chau with Moh, since it would have allowed several client computers to obtain the XML data for local processing.

As per claim 20, the applicant discloses the system for execution of the method of claim 13. Claim 20 is similarly rejected.

As per claim 21, the applicant discloses the system for execution of the method of claim 14. Claim 21 is similarly rejected.

As per claim 22, the applicant discloses the system for execution of the method of claim 15. Claim 22 is similarly rejected.

As per claim 23, the applicant discloses the computer-readable medium for execution of the method of claim 13. Claim 23 is similarly rejected.

### ***Response to Arguments***

5. Applicant's arguments with respect to claims 13-22 have been considered but are moot in view of the new ground(s) of rejection.

***Conclusion***

6. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to KYLE R. STORK whose telephone number is (571)272-4130. The examiner can normally be reached on Monday-Friday (8:00-4:30).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen Hong can be reached on (571) 272-4124. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.



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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Kyle Stork/

Kyle R Stork  
Examiner  
Art Unit 2178

/Stephen S. Hong/  
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